

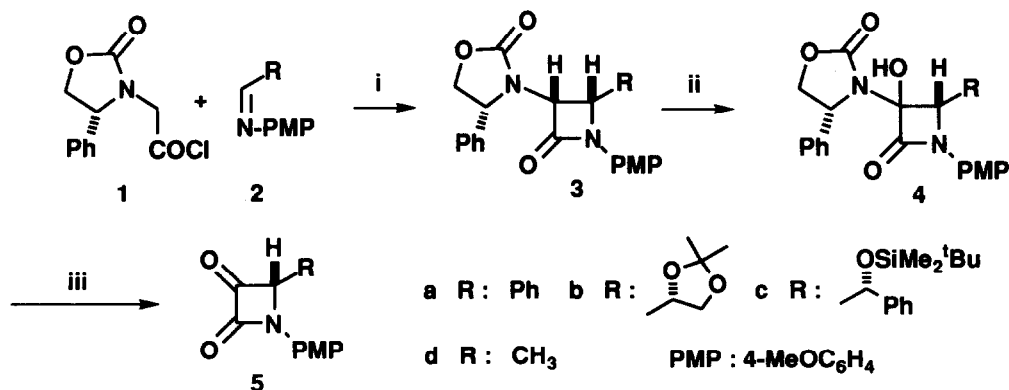
## Asymmetric Synthesis of $\alpha$ -Keto $\beta$ -Lactams via [2+2] Cycloaddition Reaction: A Concise Approach to Optically Active $\alpha$ -Hydroxy $\beta$ -Lactams and $\beta$ -Alkyl(Aryl)isoserines.

Claudio Palomo\*, Jesús M. Aizpurua, José I. Miranda,  
Antonia Mielgo and José M. Odriozola

Departamento de Química Orgánica. Facultad de Química. Universidad del País Vasco.  
Apartado 1072. 20080-San Sebastián. Spain.

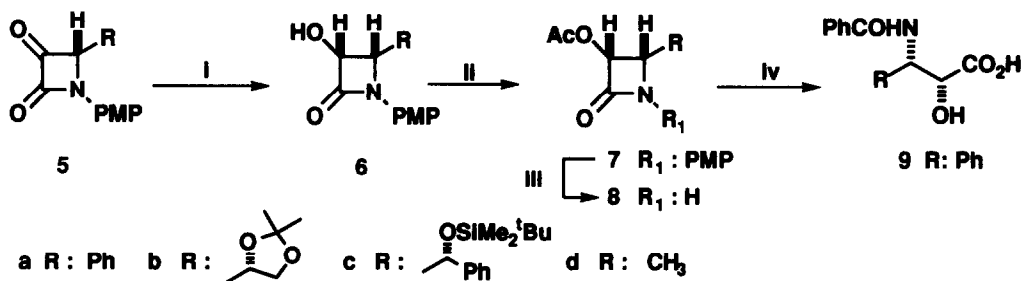
**Abstract:** The cycloaddition reaction of the Evans-Sjögren ketenes to imines, followed by  $\alpha$ -hydroxylation of the resulting cycloadducts provides an efficient general asymmetric synthesis of  $\alpha$ -keto  $\beta$ -lactams and derivatives.

The development of asymmetric syntheses of  $\beta$ -lactams is of importance, not only within the context of  $\beta$ -lactam antibiotics, but also in methodology utilizing  $\beta$ -lactams as chiral templates<sup>2</sup>. While abundant information on diverse substitution patterns of optically active  $\beta$ -lactams exists<sup>3</sup>, very little is known about the synthesis<sup>4</sup> and applications of monocyclic azetidine-2,3-diones<sup>5</sup> and even less on their optically active derivatives<sup>6</sup>. We report here the first asymmetric synthesis of this class of compounds that illustrates new perspectives in the field of  $\beta$ -lactam chemistry. Thus, reaction of the Evans-Sjögren ketenes<sup>7</sup> (Scheme 1) with either achiral imines or chiral imines followed by  $\alpha$ -hydroxylation<sup>8</sup> of the resulting cycloadducts leads to the formation of optically pure  $\alpha$ -keto  $\beta$ -lactams with concomitant recovery of the chiral auxiliary.



Scheme 1. Reagents and Conditions: i, NEt<sub>3</sub>, CH<sub>2</sub>Cl<sub>2</sub>, -78°C→r.t., 20-24h; ii LiN(SiMe<sub>3</sub>)<sub>2</sub> (2 equiv.), THF, -78°C, 1.5h then, MoOPH (3 equiv.), -78°C, 6h iii SiO<sub>2</sub>, CH<sub>2</sub>Cl<sub>2</sub>, r.t., 20-24h.

The starting acid chloride **1** was prepared and reacted with imines **2a-c** under known conditions<sup>7b</sup> to form  $\beta$ -lactams **3a-c** in high yields and with virtually complete diastereoselectivity. When **3a** [83% yield, mp: 240-242°C [ $[\alpha]_D^{25} = -91.0$  ( $c = 1.0$ ,  $\text{CH}_2\text{Cl}_2$ )] was deprotonated<sup>9</sup> using lithium bis(trimethylsilyl)amide in THF at -78°C followed by hydroxylation with Vedjes' molybdenum peroxide reagent  $\text{MoOPH}^{10}$  a mixture of the desired  $\alpha$ -keto  $\beta$ -lactam **5a** [50%, isolated yield, m.p.: 139-140°C] and the corresponding  $\alpha$ -amido carbinol **4a**<sup>11</sup> was produced together with the oxazolidinone chiral auxiliary after column chromatography. This result suggested the expected  $\alpha$ -amido carbinols to be relatively unstable and easy to transform into  $\alpha$ -keto  $\beta$ -lactams under mild acidic conditions. In fact, exposure of **4a** to silica gel or simple heating in THF gave complete conversion into **5a**. In subsequent experiments carried out without isolation of the corresponding intermediates,  $\beta$ -lactams **3a**, **3b** and **3c** furnished the desired  $\alpha$ -keto  $\beta$ -lactams **5a**, **5b** and **5c** in yields ranging from 65-80% (not fully optimized). For instance, **3b** gave the  $\beta$ -lactam **5b** in 65% yield [mp: 143-145°C [ $[\alpha]_D^{25} = +38.0^\circ$  ( $c = 1.0$ ,  $\text{CH}_2\text{Cl}_2$ )] and **3c**, under the same conditions, led to **5c** in 72% yield [mp: 103-104°C [ $[\alpha]_D^{25} = -72.0$  ( $c = 1.0$ ,  $\text{CH}_2\text{Cl}_2$ )]]. In a similar way **3d**, prepared from **3b** by a routine elaboration of the C<sub>4</sub> substituent, gave **5d** in 68% yield [m.p. 103-104°C, [ $[\alpha]_D^{25} = -72.0^\circ$  ( $c = 1.0$ ,  $\text{CH}_2\text{Cl}_2$ )]]. The absolute stereochemistry of the cycloadducts as well as the  $\alpha$ -keto  $\beta$ -lactams thus obtained, was preliminarily assigned on the basis of the known stereochemical outcome of the cycloaddition reaction, but a rigorous proof of the assigned stereochemistry was provided by the synthesis of the  $\beta$ -lactam **8a**, which is a precursor of the (2*S*,3*R*)-3-phenylisoserine **9**, the side chain of the potent antitumor agent taxol<sup>12</sup>.



Scheme 2. Reagents and Conditions: i,  $\text{NaBH}_4$ ,  $\text{MeOH}$ ,  $0^\circ\text{C}$ , ii  $\text{CH}_3\text{COCl}$ ,  $\text{NEt}_3$ ,  $\text{CH}_2\text{Cl}_2$ ,  $0^\circ\text{C} \rightarrow \text{r.t.}$  iii  $(\text{NH}_4)_2\text{Ce}(\text{NO}_3)_6$ ,  $\text{CH}_3\text{CN}-\text{H}_2\text{O}$ ,  $0^\circ\text{C}$ , iv ref. 12

The stereochemistry of **5b** and **5c** was established by an independent route which consisted of the cycloaddition reaction of benzyloxyketene to imines **2b** and **2c** respectively, followed by hydrogenolysis of the corresponding cycloadducts<sup>13</sup>. The resulting  $\beta$ -lactams **6b** [m.p.: 199-201°C, [ $[\alpha]_D^{25} = +99.9^\circ$  ( $c =$

0.5, MeOH)] and **6c** [m.p: 148-149°C,  $[\alpha]_D^{25} = -37.5^\circ$  (c= 1.06, CH<sub>2</sub>Cl<sub>2</sub>)] were identical to that obtained by sodium borohydride reduction of **5b** and **5c** respectively. In a similar way, **5d** furnished **6d** [m.p: 146-147°C  $[\alpha]_D^{25} = +99.2^\circ$  (c= 1.0, CH<sub>2</sub>Cl<sub>2</sub>)] in nearly quantitative yield. In every case the reduction of the carbonyl group proceeded with virtually complete stereoselectivity, thus allowing a new asymmetric synthesis of  $\alpha$ -hydroxy  $\beta$ -lactams and derivatives in a concise and straightforward fashion<sup>14</sup>. For instance, **6a** obtained by reduction of **5a** upon exposure to acetyl chloride and triethylamine gave the  $\beta$ -lactam **7** which was directly transformed into **8a** (m.p.: 152-153°C) in 70% yield.

From the above results it seems to be clear that a wide variety of  $\beta$ -lactam precursors of  $\beta$ -amino  $\alpha$ -hydroxyacids should be efficiently prepared via the present methodology. Finally, precedent from this laboratory<sup>15</sup> would indicate that the  $\alpha$ -keto  $\beta$ -lactams obtained via this approach should also be valuable precursors of  $\alpha$ -aminoacid N-carboxyanhydrides and, therefore,  $\alpha$ -amino acids and peptides containing them. These aspects are now underway in our laboratory and the results will be forthcoming.

**ACKNOWLEDGEMENTS:** The present work has been supported by Comisión Interministerial de Ciencia y Tecnología (Project FAR: 91/0550) and in part by Gobierno Vasco (Project PGV: 9113.1). A Grant from the Gobierno Vasco to A. Mielgo is gratefully acknowledged.

#### REFERENCES AND NOTES:

- 1.- For some reviews, see: (a) Southgate, R.; Elson, S. in "Progress in the Chemistry of Organic Natural Products", W. Herz, H. Grisebach, G.W. Kirby, Ch. Tamm Eds.; Springer Verlag: New York, 1985, p.1 (b) Durckheimer, W.; Blumach, J.; Latrell, R.; Sheunemann, K. H. *Angew. Chem. Int. Ed. Engl.* **1985**, *25*, 180.
- 2.- Manhas, M.S.; Wagle, D.R.; Chiang, J.; Bose, A.K. *Heterocycles* **1988**, *27*, 1755.
- 3.- Backes, J. in "Houben-Weyl, Methoden der Organischen Chemie", E. Muller, O. Bayer, Eds.; Band E16B; Thieme: Stuttgart, 1991, p. 31 (b) Georg, G.I.; Ravikumar, V.T. in "The Organic Chemistry of  $\beta$ -Lactams", G.I. Georg, Ed. VCH: New York, 1992, p. 295.
- 4.- (a) Tufariello, J.J.; Pinto, D.J.P.; Milowsky, A.S.; Reinhardt, D.V. *Tetrahedron Lett.* **1987**, *28*, 5481. (b) Chiba, K.; Mori, M.; Ban, Y. *Tetrahedron* **1985**, *41*, 387 (c) Van der Veen, J.M.; Bari, S.S.; Krishnan, L.; Manhas, M.S.; Bose, A.K. *J. Org. Chem.* **1989**, *54*, 5758.

- 5.- (a) Palomo, C.; Aizpurua, J.M.; López, C.; Aurrekoetxea, N. *Tetrahedron Lett.* **1990**, *31*, 2205. (b) Palomo, C.; Aizpurua, J.M.; López, C.; Aurrekoetxea, N.; Oiarbide, M. *Tetrahedron Lett.* **1990**, *31*, 6425. (c) Palomo, C.; Aizpurua, J.M.; Cossío, F.P.; García, J.M.; López, C.; Oiarbide, M. *J. Org. Chem.* **1990**, *55*, 2070. (d) Bateson, J.H.; Fell, S.C.M.; Kauara, A.C.; Southgate, R. *J. Chem. Soc.; Perkin Trans 1*, **1992**, 1577.
- 6.- Most of the studies dealing with optically active  $\alpha$ -keto  $\beta$ -lactams comprises the chemistry of 6-oxopenicillins and 7-oxocephalosporins, see ref 3a. For the synthesis of an optically active monocyclic azetidine-2,3-dione, see: Hodgson, S.T.; Hollinshead, D.M.; Ley, S.V.; Low, C.M.R.; Williams, D.J. *J. Chem. Soc.; Perkin Trans 1*, **1985**, 2375.
- 7.- (a) Evans, D.A.; Sjögren, E.B. *Tetrahedron Lett.* **1985**, *26*, 3783, *Idem. ibid.*, **1985**, *26*, 3787. (b) Boger, D.L.; Myers, Jr., J.B. *J. Org. Chem.* **1991**, *56*, 5385.
- 8.- Dolle, R.E.; Hughes, M.J.; Li, Ch.-S.; Kruse, L.I. *J. Chem. Soc.; Chem. Commun.*, **1989**, 1448.
- 9.- Ojima, I.; Chen, H.-J.C.; Nakahashi, K. *J. Am. Chem. Soc.* **1988**, *110*, 278.
- 10.- Vedejs, E.J. *J. Am. Chem. Soc.* **1974**, *96*, 5944.
- 11.- Although also one isomer could be detected by  $^1\text{H-NMR}$  (300MHz) analysis of the crude reaction mixture, the relative stereochemistry at C<sub>3</sub> and C<sub>4</sub> centers of **4a** was not determined.
- 12.- (a) Brieva, R.; Crich, J.Z.; Sih, C.J. *J. Org. Chem.* **1993**, *58*, 1068 and references cited therein. (b) Palomo, C.; Arrieta, A.; Cossio, F.P.; Aizpurua, J.M.; Mielgo, A.; Aurrekoetxea, N. *Tetrahedron Lett.* **1990**, *31*, 6429.
- 13.- (a) Wagle, D.R.; Garai, Ch.; Chiang, J.; Monteleone, M.G.; Kurys, B.E.; Strohmeier, T.W.; Hegde, V.R.; Manhas, M.S.; Bose, A.K. *J. Org. Chem.* **1988**, *53*, 4227 (b) Kobayashi, Y.; Takemoto, Y.; Kamijo, T.; Harada, H.; Ito, Y. Terashima, S. *Tetrahedron* **1992**, *48*, 1853.
- 14.- For other methods, see: (a) ref 3b (b) Ojima, I.; Habus, I.; Zhao, M.; Zucco, M.; Park, Y.H.; Sun, Ch.M.; Brigaud, T. *Tetrahedron*, **1992**, *48*, 6985 (c) Farina, V.; Hauck, S.I.; Walker, D.G. *Synlett* **1992**, 761.
- 15.- Cossio, F.P.; Lopez, C.; Oiarbide, M.; Palomo, C.; Aparicio, D.; Rubiales, G. *Tetrahedron Lett* **1988**, *29*, 3133.

(Received in UK 25 June 1993; accepted 30 July 1993)